

Fig. 1

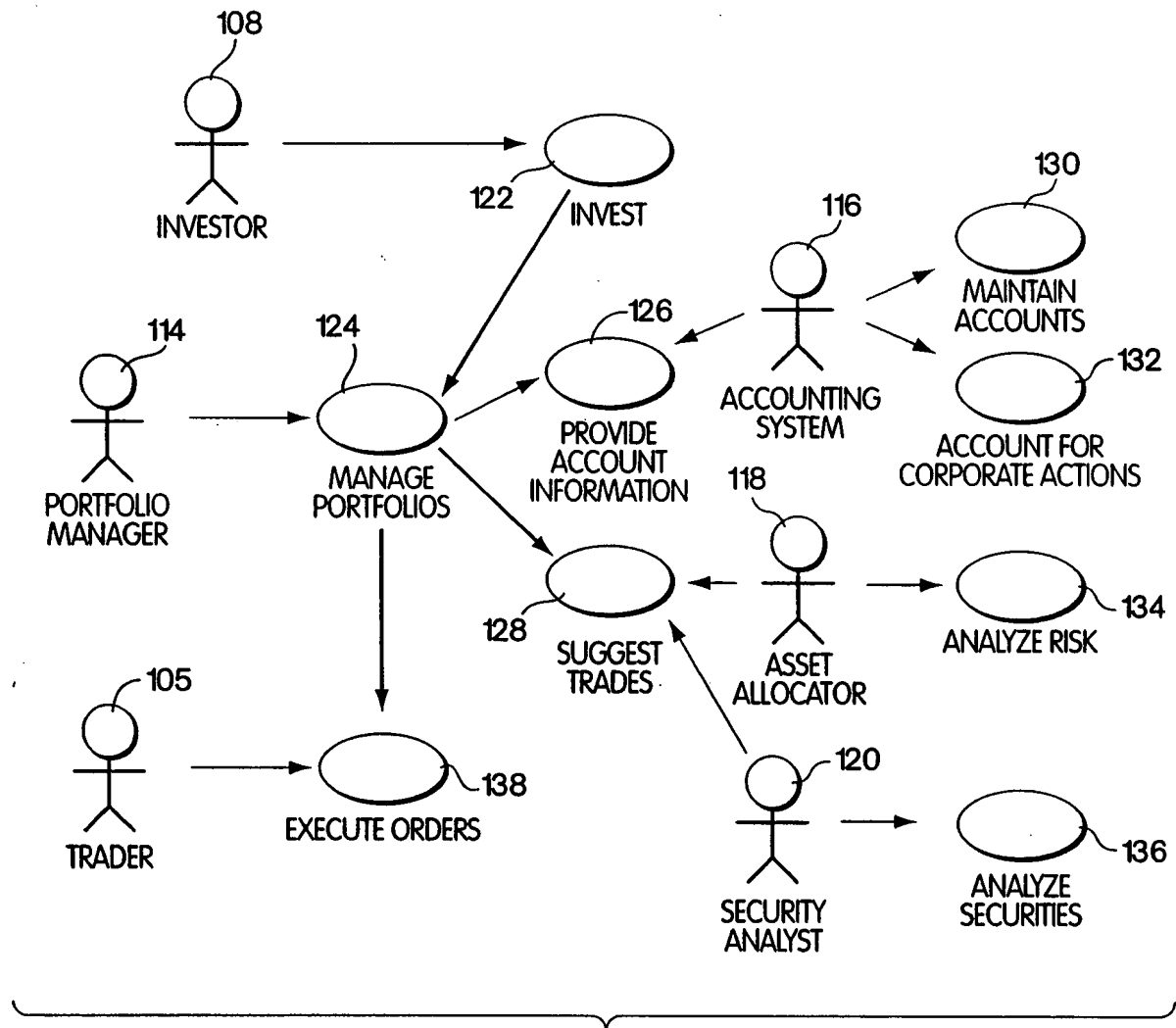


Fig. 2A

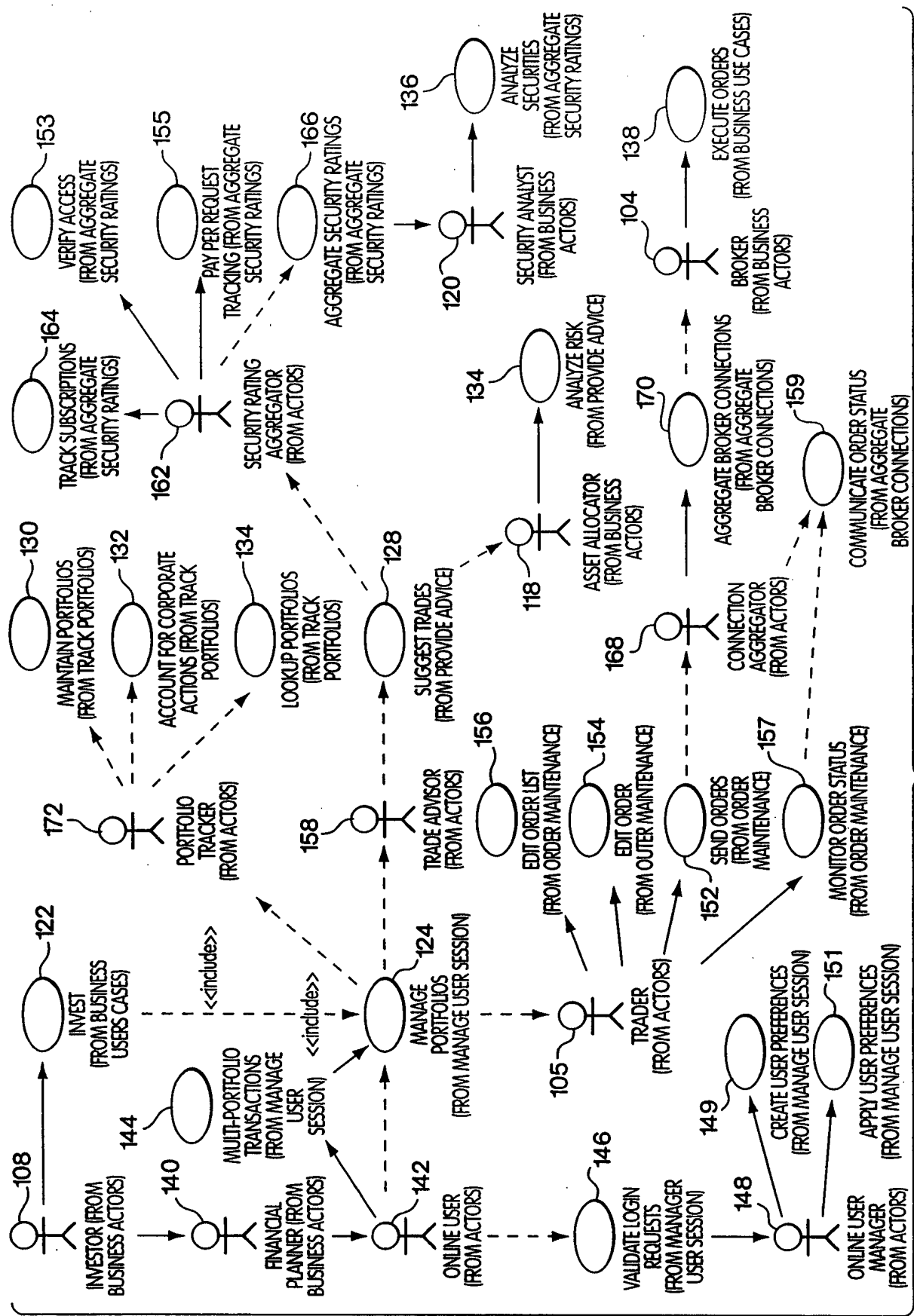


Fig. 2B

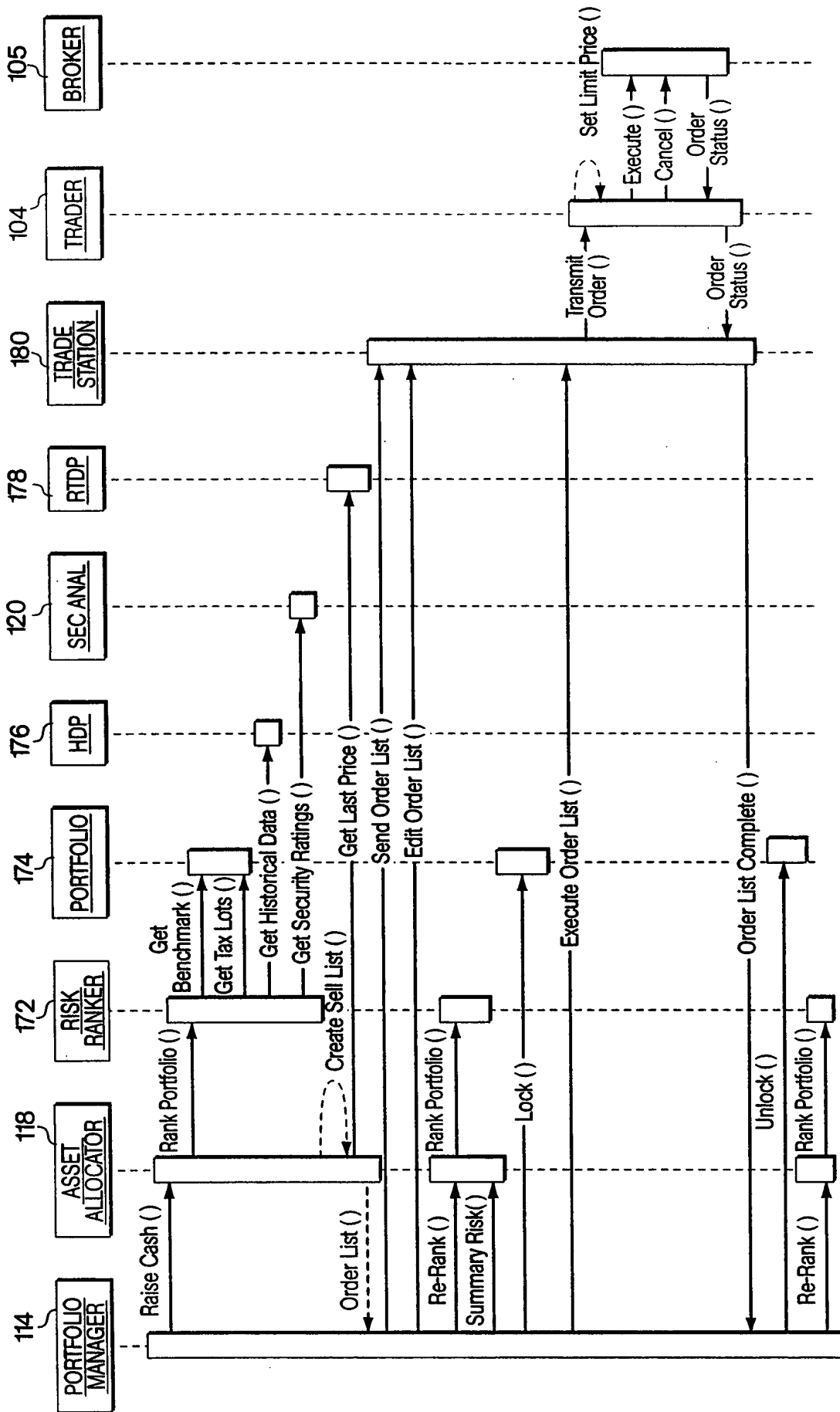


Fig. 3A

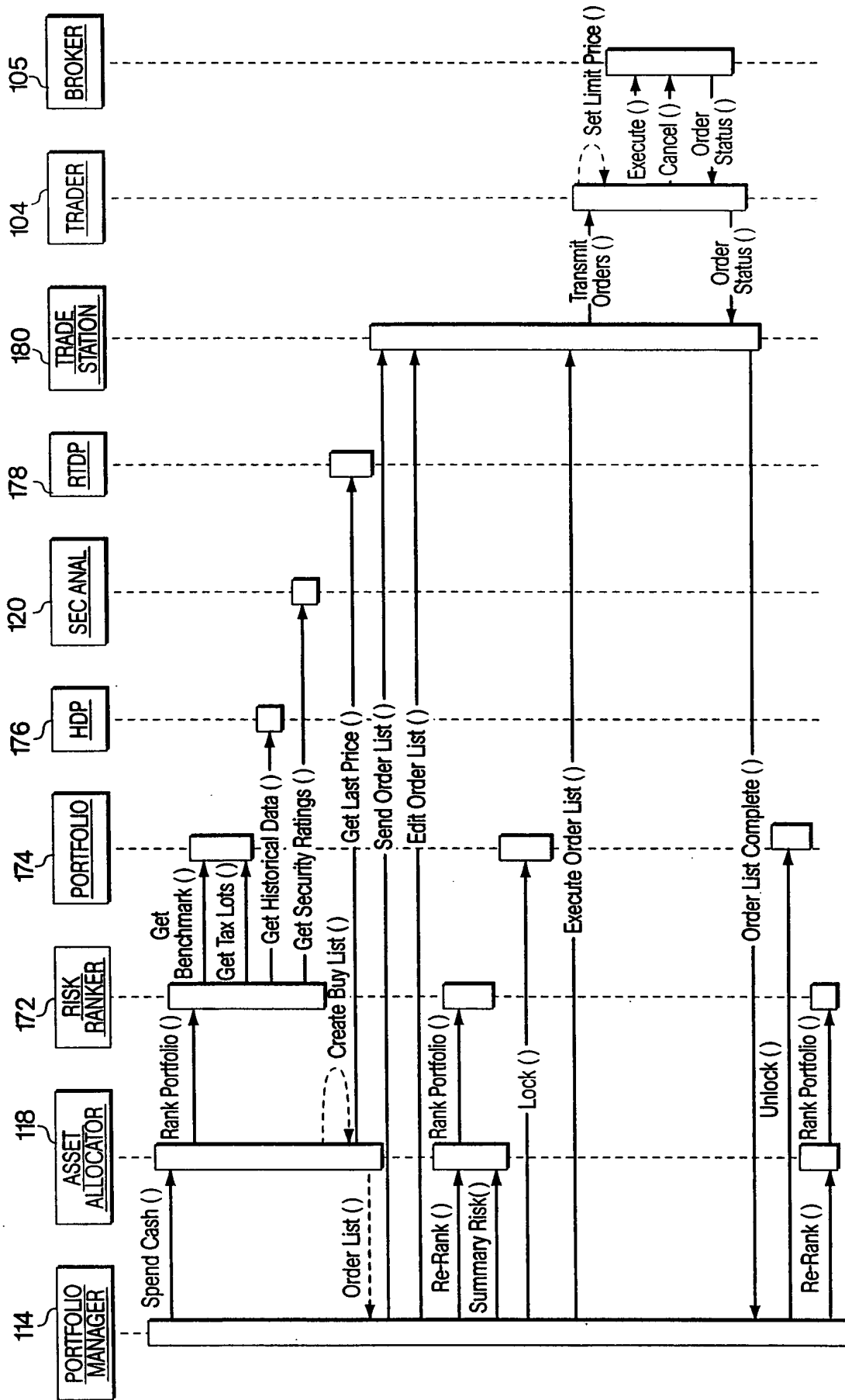


Fig. 3B

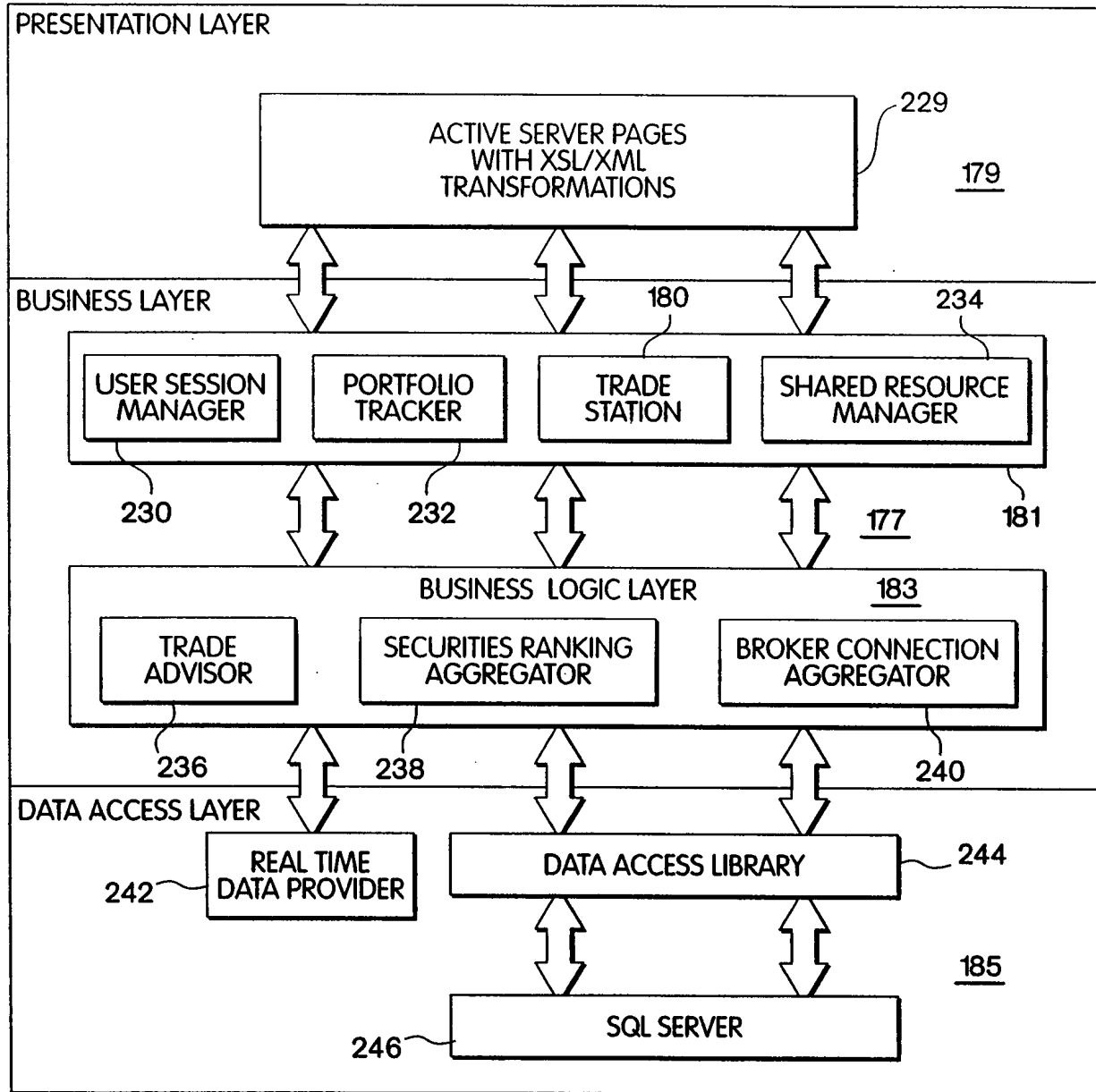


Fig. 4

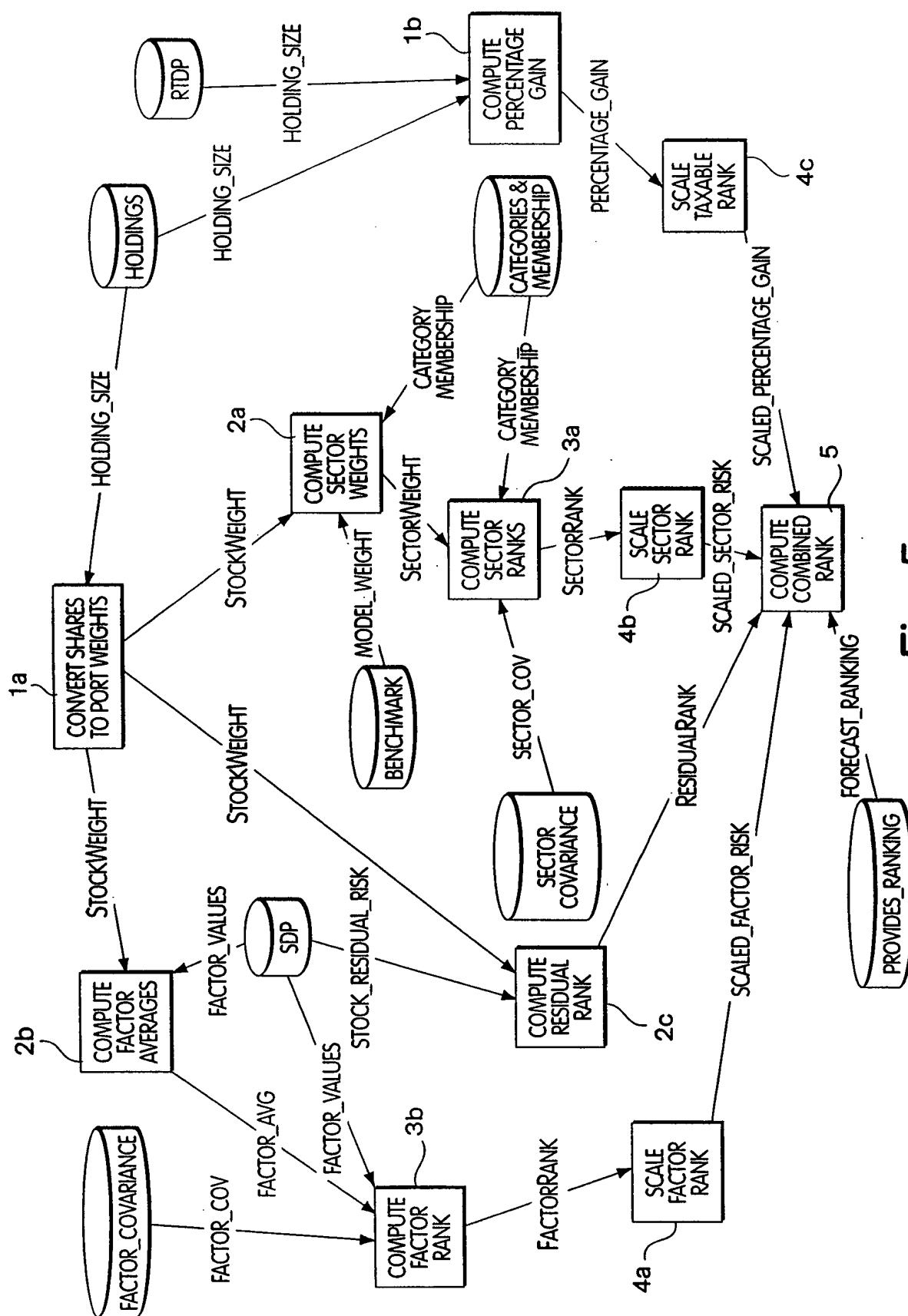


Fig. 5

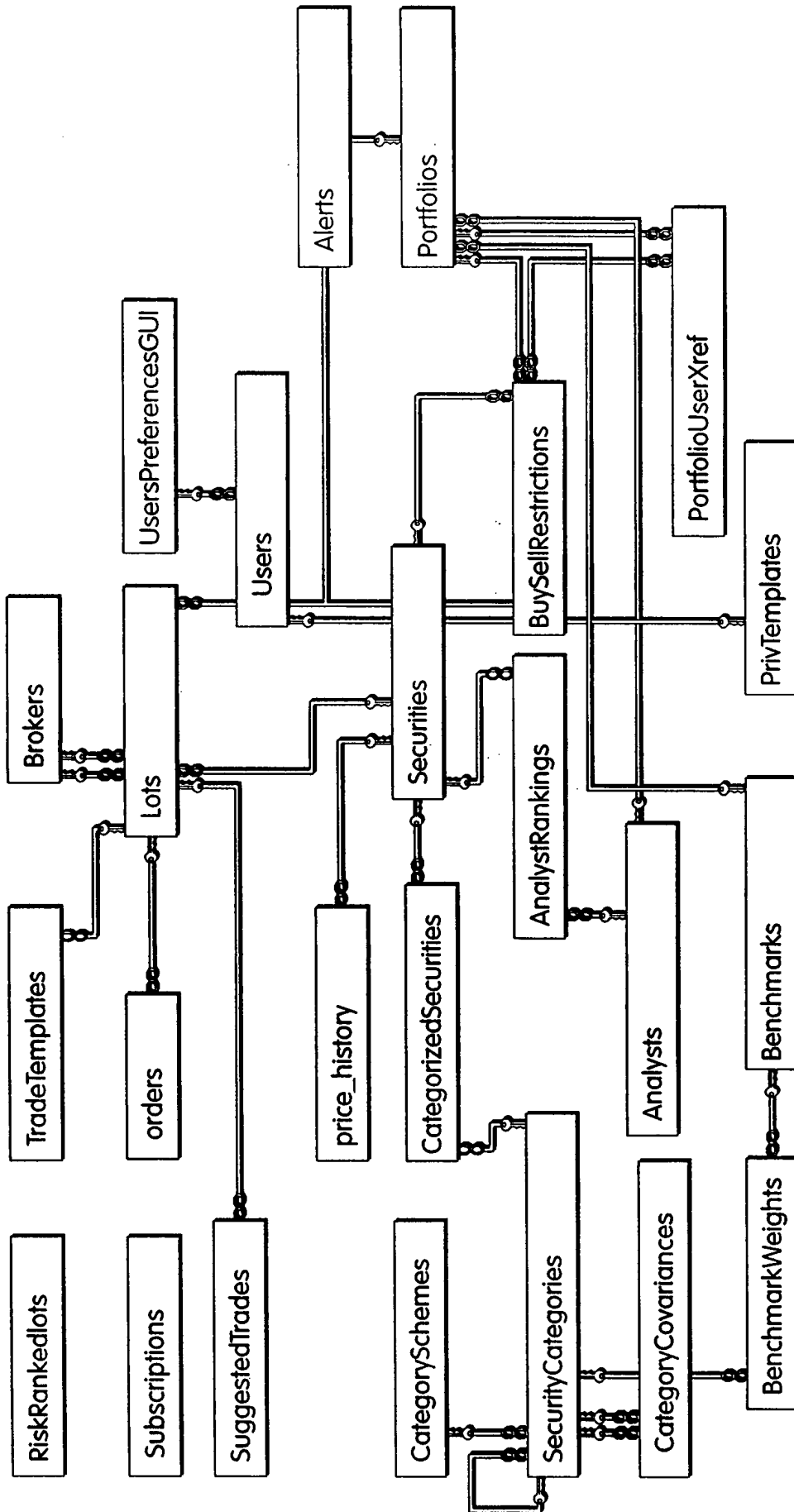


Fig. 8A

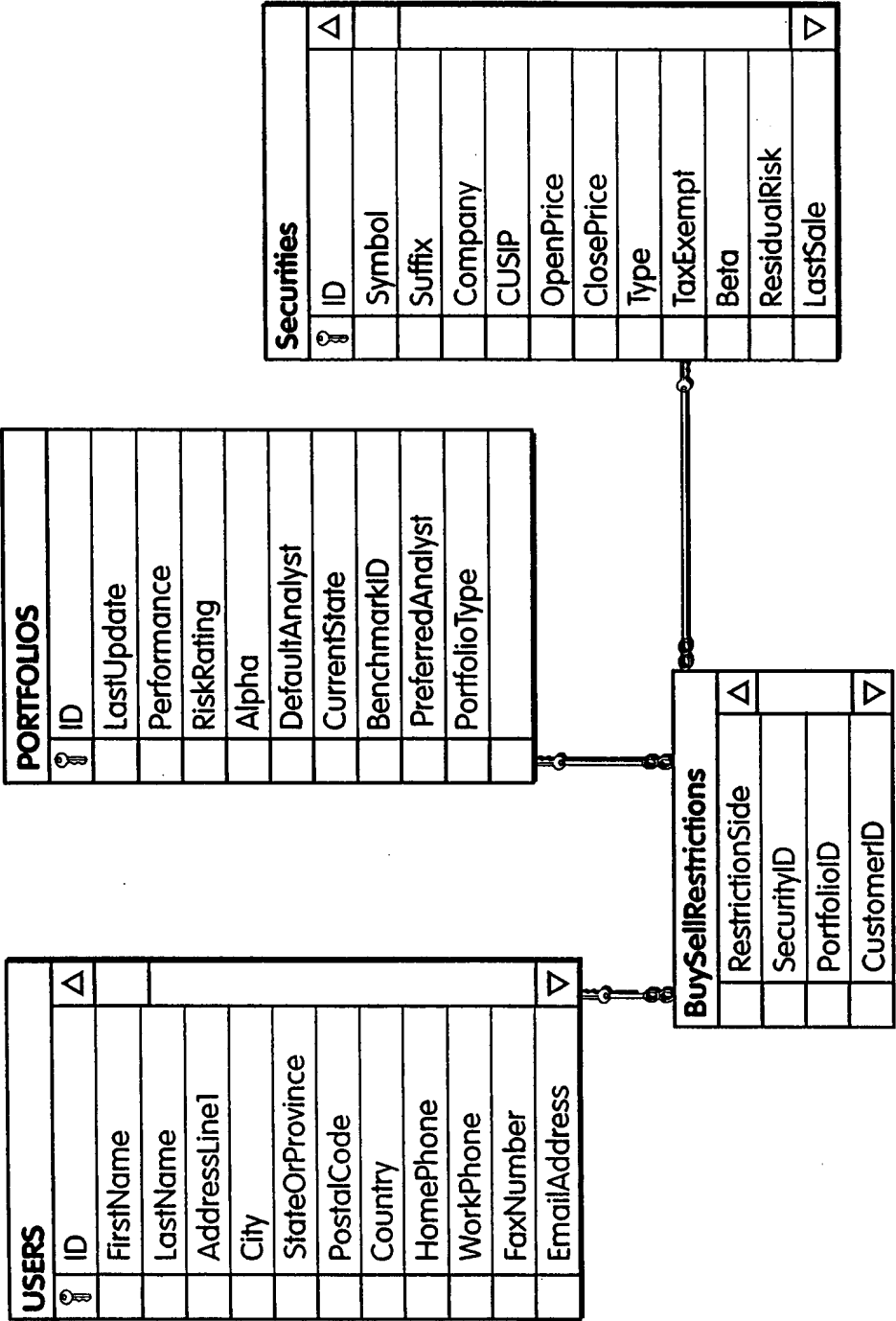


Fig. 8B

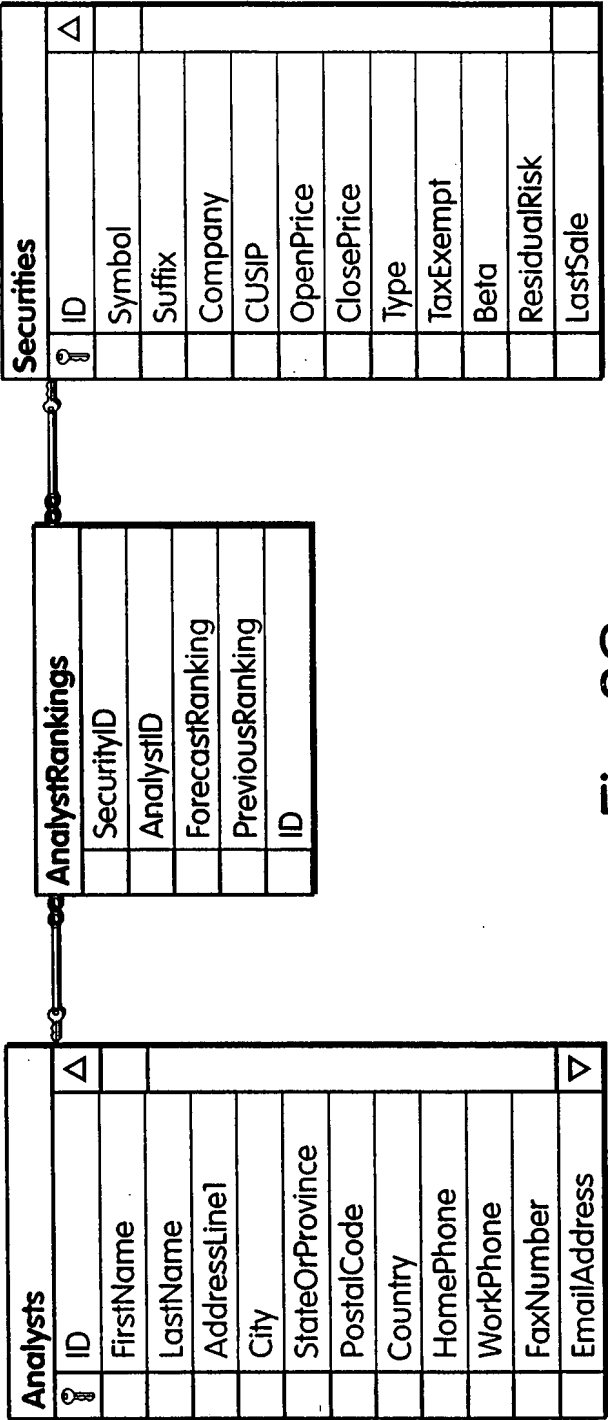


Fig. 8C

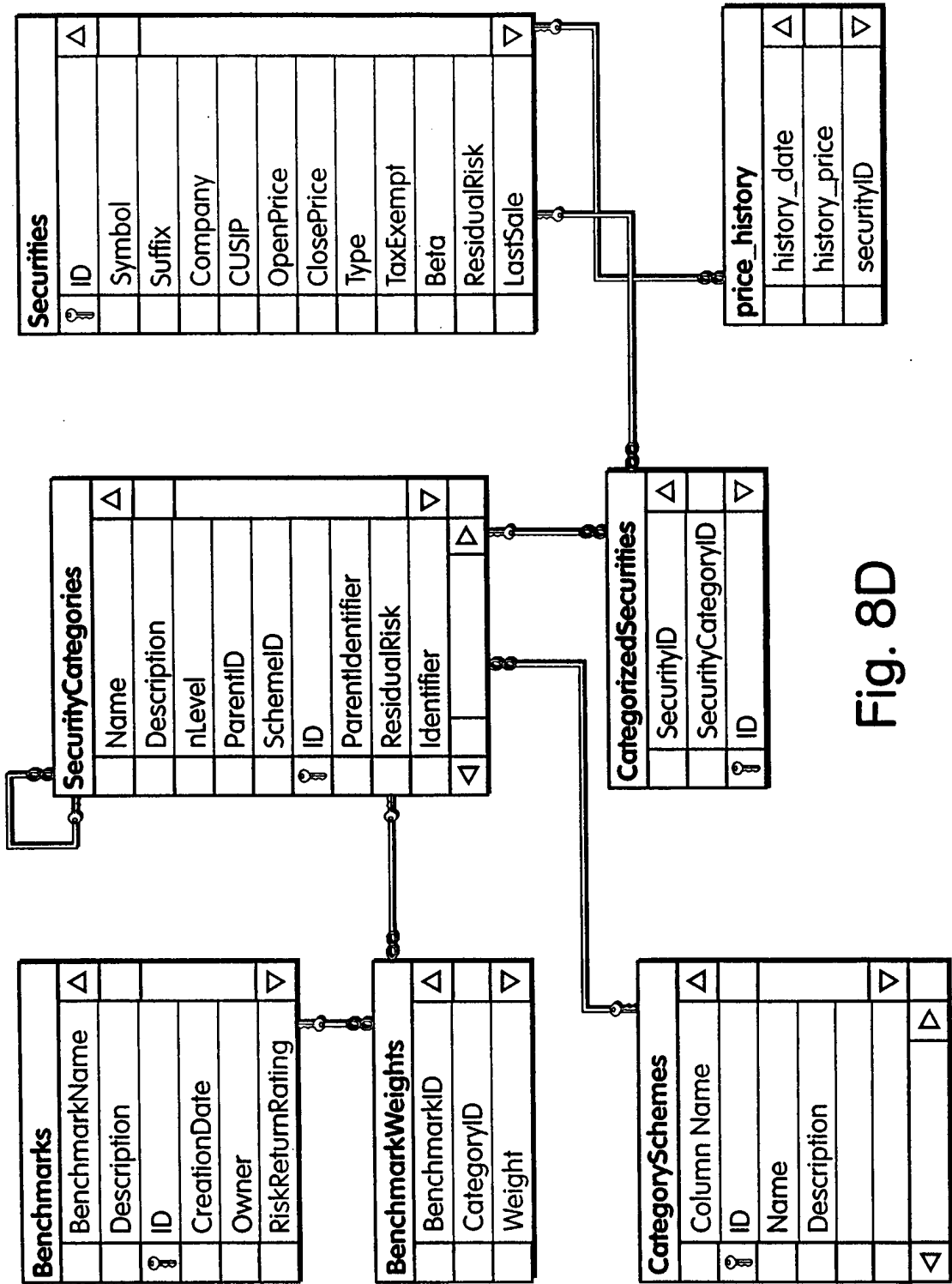


Fig. 8D

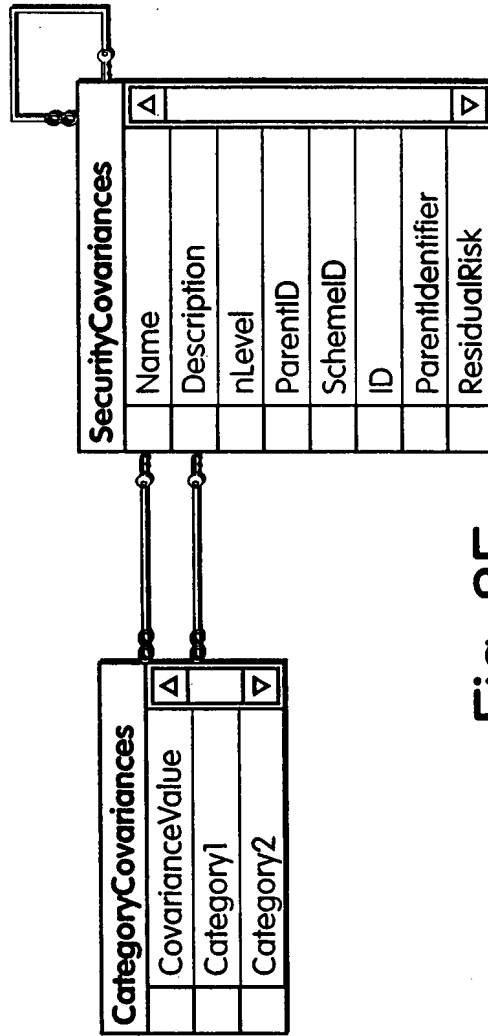


Fig. 8E

# REPLACEMENT SHEET

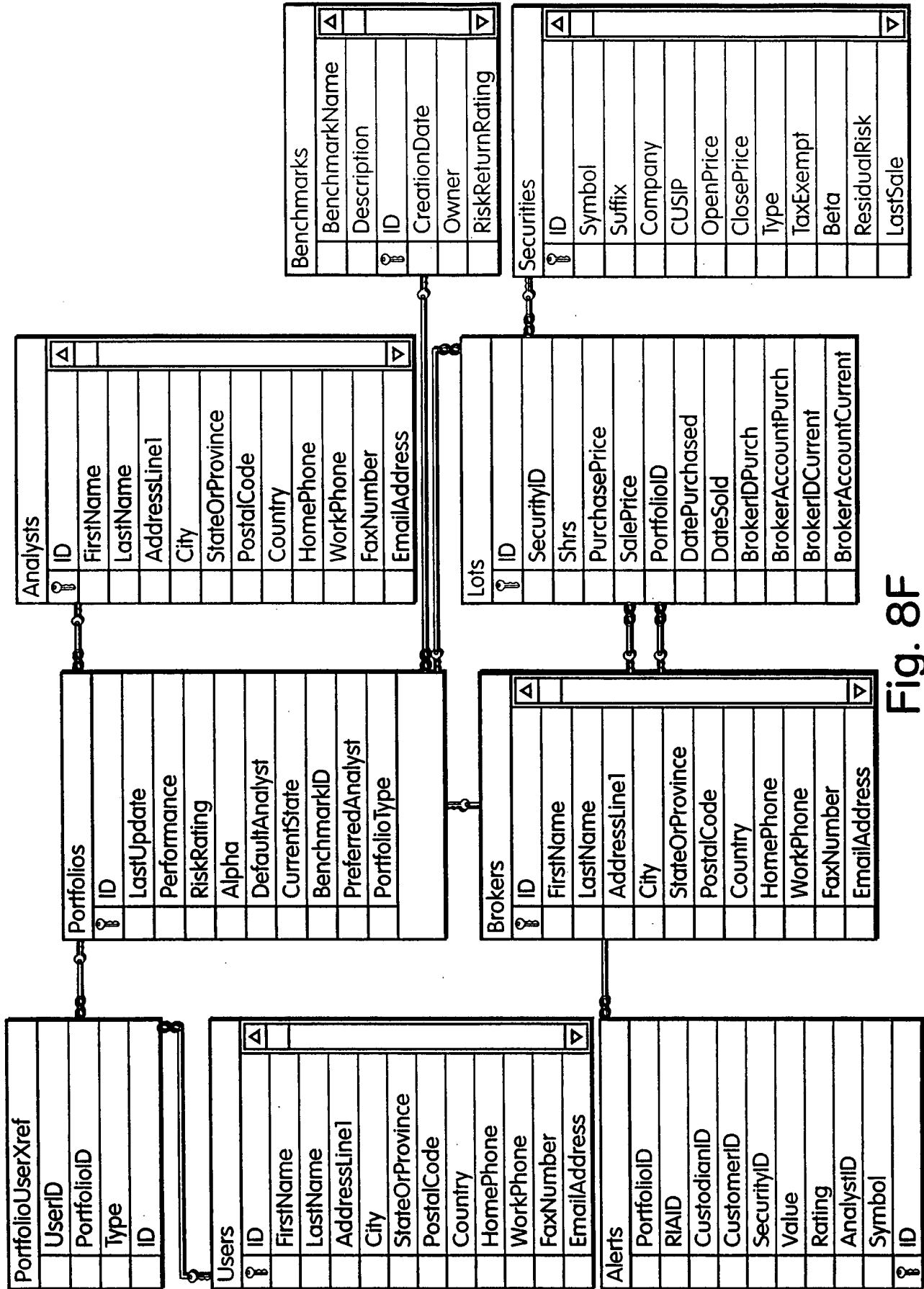


Fig. 8F

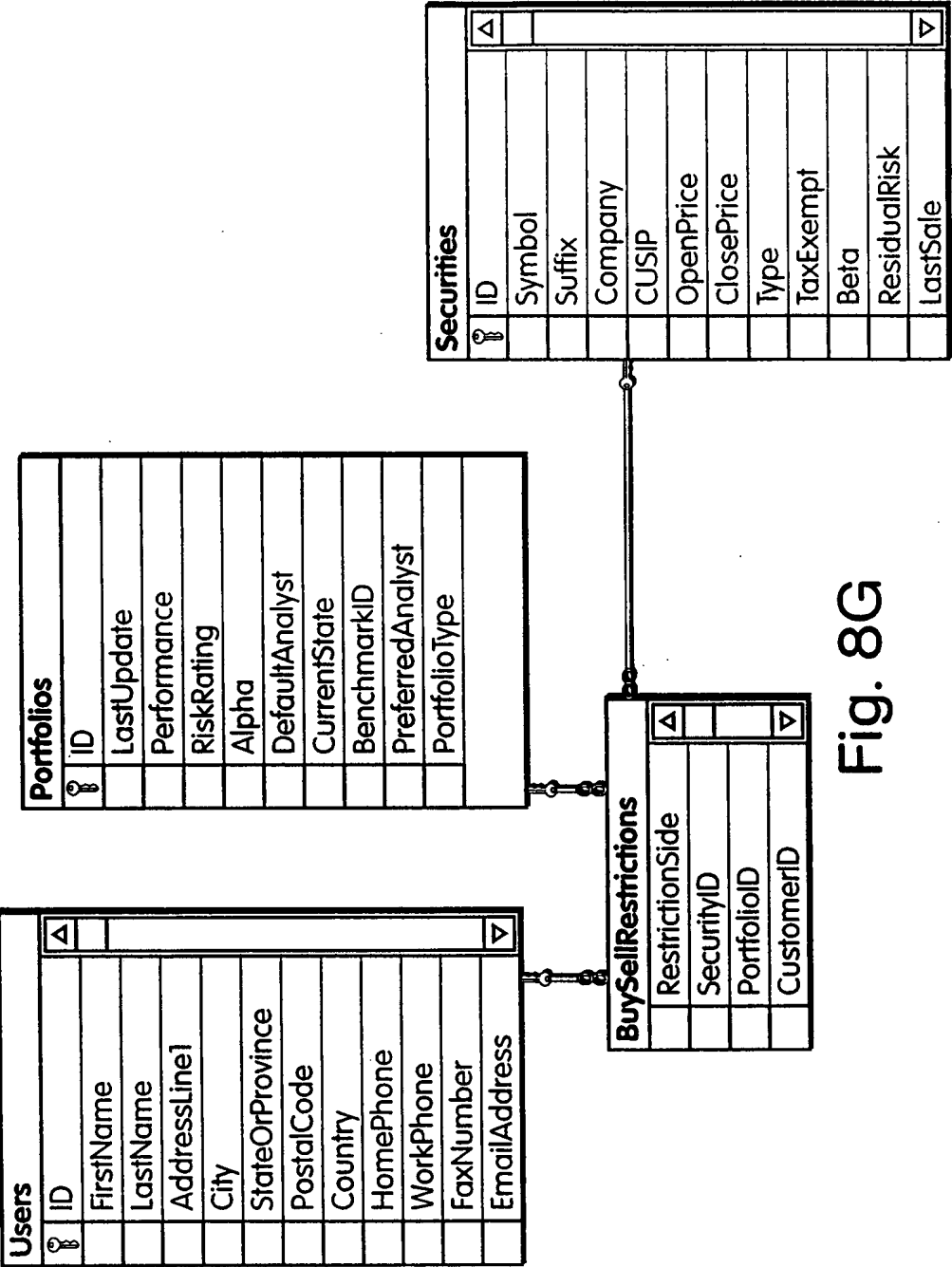


Fig. 8G

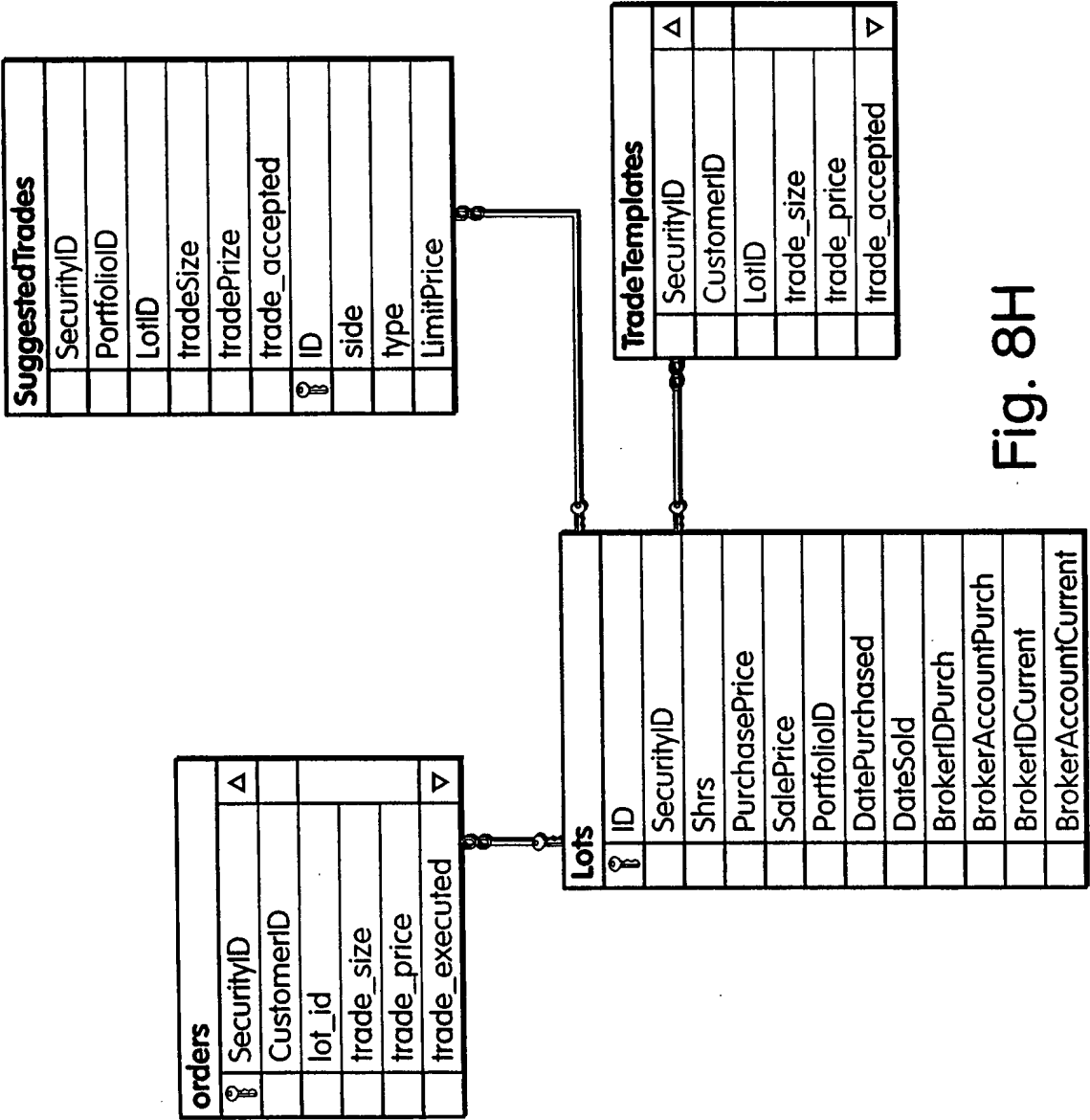


Fig. 8H

# REPLACEMENT SHEET

Quick Account Find:




LOGOUT

Account List		View: Custom... Account value less than \$50,000 (Change Size)	Account Search	Performance Since: Current Month	GoalSeeker Account Performance Rebalanced Accounts	
Account Name (Click a name below to view account detail)	Account Value	Cash Percentage	Performance (Current Month)	Benchmark	Risk Rating	Alpha
Christene Hanley - IRA	\$243,011	13.8%	+10.7%	S&P 500	2.1	5.7
Nancy Matheson - College Fund	\$41,099	4.2%	+9.1%	Wilshire 5000	1.1	4.3
Darrel Roberts - General	\$443,930	10.1%	-4.0%	Custom Aggressive	5.9	6.2
Arnold Tobias - Retirement	\$541,763	20.0%	+2.4%	Russell 2000	1.4	4.2
Coralie Witter - Retirement	\$444,321	11.3%	+12.0%	Russell 2000	2.7	3.5
Mary Robertson - General	\$223,230	1.8%	-5.2%	Custom Aggressive	1.9	1.2
Todd Spring - IRA	\$113,031	11.8%	+4.7%	S&P 500	4.1	4.5
Jonathan Smith - General	\$400,201	5.8%	-4.4%	Wilshire 5000	2.2	2.3
Joan Natalie - College Fund	\$81,900	2.2%	+5.1%	Custom Conservative	2.1	4.1
Leslie Racowsky - Retirement	\$111,200	3.2%	+7.7%	Wilshire 5000	2.5	3.6

Stock Alerts				Risk Alerts	
Ticker	Stock Rating	Number of Accounts	Holding Value	Account Name	Risk Rating
AOL	▲ Accumulate	26	\$50,192	Darrel Roberts - General	5.9
EBAY	▲ Strong Buy	-	-	Jerry Glover - IRA	5.5
LCOS	▲ Buy	-	-	Jordan Mallick - General	5.2
MSFT	▼ Hold	15	\$10,299	Tim Brennan - General	5.0
NXTL	▲ Accumulate	10	\$34,837	James Slusser - College Fund	5.0
YHOO	▲ Strong Buy	-	-	Bob Young - Retirement	4.5
				Joan Young - Retirement	4.5

Fig. 10

# REPLACEMENT SHEET



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Quick Account Find:

LOGOUT

Account Search		Benchmark Equals ...		Submit		Reset	
Account Name Contains...	<input type="text"/>	<input type="checkbox"/> S&P 500	<input type="checkbox"/> Custom Aggressive				
Account Number Equals...	<input type="text"/>	<input type="checkbox"/> Russell 2000	<input type="checkbox"/> Custom Conservative				
	<input type="text"/>	<input type="checkbox"/> Wilshire 5000	<input type="checkbox"/> Custom Retirement				
Account Value Is...		Risk Is ...					
<input type="radio"/> less than	<input type="text"/>	<input type="radio"/> less than		<input type="text"/>			
<input type="radio"/> greater than	<input type="text"/>	<input type="radio"/> greater than		<input type="text"/>			
<input type="radio"/> between	<input type="text"/> and \$ <input type="text"/>	<input type="radio"/> between		<input type="text"/> and <input type="text"/>			
Cash Percentage Is ...		Stock Rating Is ...					
<input type="radio"/> less than	<input type="text"/> %	<input type="radio"/> less than		<input type="text"/>			
<input type="radio"/> greater than	<input type="text"/> %	<input type="radio"/> greater than		<input type="text"/>			
<input type="radio"/> between	<input type="text"/> % and <input type="text"/> %	<input type="radio"/> between		<input type="text"/> and <input type="text"/>			
Performance Since	Current Month <input type="text"/> Is ...	Stock Holdings Value of Ticker		<input type="text"/> Is ...			
<input type="radio"/> less than	<input type="text"/> %	<input type="radio"/> less than		<input type="text"/>			
<input type="radio"/> greater than	<input type="text"/> %	<input type="radio"/> greater than		<input type="text"/>			
<input type="radio"/> between	<input type="text"/> % and <input type="text"/> %	<input type="radio"/> between		<input type="text"/> and \$ <input type="text"/>			

Submit

Reset

Fig. 11

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**General Information**  
**Account:** 249  
 Darrel Roberts  
 100 Tremont St.  
 Boston, MA 02116  
 (W) 617-715-7115  
 (H) 617-989-6732  
 droberts@mail.com

**Benchmark:**  
 Custom Aggressive  
 \$443,930  
 Value:  
 Risk:  
 Value at Risk:  
 Stock Rating:

Edit:  

General

▼

Custom Aggressive

\$443,930

5.9

\$89,837

251

6.2

**Portfolio Recommendations**  

Sell	Top Picks
DELL	AAPL - GTW - IBM - HWP - MICAQ - More...
KO	FZ - ODWA - SLHN - More...
Buy	
BOUT	LCOS - YHOO - ATHM - ONVI - TRRA - More...

Advisor Logo

**Holdings**  
 View: ☐ Table ☒ Graph

Raise Cash: \$ 

Submit

Basic Mat.	Cap. Goods	Cons. Goods	Energy	Financials	Healthcare	Technology	Utilities	Cash
<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>	<div style="display: flex; justify-content: space-between;"> <div>20%</div> <div>15%</div> <div>10%</div> <div>5%</div> <div>0%</div> </div>

**Analysis**  
 Refresh

View: ☐ Table ☒ Graph

Risk Rating	Value at Risk	Alpha
5.9	90K	7.1
1.2	17K	6.2

Legend: ☐ Current ☒ Projected

**Trade Station**  
 Broker: LVL

Execute

Action	Ticker	Shares	Type	Market Price	Limit Price	Value
Sell ▼	DELL	1000	Market <input type="radio"/> Limit <input checked="" type="radio"/>	43 1/4		+\$43,250
Buy ▼	AAPL	500	Market <input type="radio"/> Limit <input checked="" type="radio"/>	87 3/8	85	-\$42,500
Sell ▼	KO	2000	Market <input type="radio"/> Limit <input checked="" type="radio"/>	53 1/2		+\$106,750

Fig. 12

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**General Information**

Account:  General

Benchmark: Custom Aggressive

Value: \$443,930

Risk: 5.9

Value at Risk: \$89,837

Stock Rating: 6.2

**Portfolio Recommendations**

Top Picks

SELL	DELL	AAPL - GTW - IBM - HWP - MICAQ - More...
BUY	KO	FIZ - ODDA - SLHN - More...
BUY	BOUT	LOOS - YHOO - ATHM - ONVI - TRRA - More...

**General Information**

View: ☒ Table ☐ Graph

Sector	Add Holding	Shares	Price	Value	% of Port	Model %	Diff.	Sell	Buy Recommendations
Consumer Goods				\$181,137	40.8%	38.0%	+2.8%		
KO [Edit, Delete]	2000	53 3/8	\$106,750		24.0%	18.0%	+6.0%	<input checked="" type="checkbox"/>	<input type="checkbox"/> RZ <input type="checkbox"/> ODDA <input type="checkbox"/> SLHM More...
PEP [Edit, Delete]	1828	40 11/16	\$74,387		16.8%	20.0%	-3.2%	<input type="checkbox"/>	<input type="checkbox"/> TRY <input type="checkbox"/> WH <input type="checkbox"/> HANS <input type="checkbox"/> LBIX More...
Technology				\$217,956	49.1%	57.0%	-7.9%		
DELL [Edit, Delete]	1000	43 1/4	\$43,250		9.7%	12.0%	-2.3%	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> AAPL <input type="checkbox"/> GTW <input type="checkbox"/> IBM <input type="checkbox"/> HWP <input type="checkbox"/> MICAQ More...
INTC [Edit, Delete]	700	126 1/2	\$88,550		19.9%	20.0%	-0.1%	<input type="checkbox"/>	<input type="checkbox"/> AMD <input type="checkbox"/> CVCJ <input type="checkbox"/> CRUS <input type="checkbox"/> EXAR More...
CSCO [Edit, Delete]	1500	57 7/16	\$86,156		19.4%	25.0%	-5.6%	<input type="checkbox"/>	<input type="checkbox"/> COMS <input type="checkbox"/> WEBB <input type="checkbox"/> SNIC <input type="checkbox"/> NOVL <input type="checkbox"/> MADGF More...
Cash				\$44,837	10.1%	5.0%	5.1%		

Raise Cash: \$  Submit

**Analysis**

View: ☒ Table ☐ Graph

Refresh	Risk Rating	Value at Risk	Alpha
Current	5.9	\$89,837	6.2
Projected	1.2	\$17,382	7.1

**Trade Station**

Broker:  LVL  Execute

Action	Ticker	Shares	Type	Market Price	Limit Price	Value
Sell	DELL	1000	<input type="radio"/> Market <input type="radio"/> Limit	43 1/4		+\$43,250
Buy	AAPL	500	<input type="radio"/> Market <input checked="" type="radio"/> Limit	87 3/8	85	-\$42,500
Sell	KO	2000	<input type="radio"/> Market <input type="radio"/> Limit	53 1/2		+\$106,750

Fig. 13

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Quick Account Find:

**Trade Execution Results**  
Darrell Roberts - General

Status	Change	Cancel	Action	Ticker	Shares	Type	Price	Value
Executed	-	-	Sell	DELL	1000	Market	-	+\$43,250
Transmitted	<input type="button" value="Change"/>	<input type="button" value="Cancel"/>	Buy	AAPL	500	Limit	85	-\$42,500
Cancelled	-	-	Sell	KO	2000	Market	-	+\$106,750
Pending	<input type="button" value="Change"/>	<input type="button" value="Cancel"/>	Buy	ODWA	17667	Market	-	-\$106,002


**Save Trade Template**


To save this trading template for rebalancing other accounts, specify a name for this template below and click the "save" button:

Fig. 14

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**Trade Templates** | **Class Definitions** | **Account Views**








Trade Templates		Create New Template	
Name	Date:	Click "Go" to Start the Rebalancing Wizard for all Accounts with Benchmark Equal to...	
Align Aggressive [Edit,Delete]	05/31/00	Custom Aggressive	
Align Retirement [Edit,Delete]	05/31/00	Custom Aggressive	
Rebalance General 5/30 [Edit,Delete]	05/30/00	Custom Aggressive	
Align Wilshire [Edit,Delete]	05/24/00	Custom Aggressive	
Align Custom B [Edit,Delete]	05/16/00	Custom Aggressive	
Align Custom A [Edit,Delete]	05/16/00	Custom Aggressive	

Fig. 15

# REPLACEMENT SHEET



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Rebalance Accounts

Align Aggressive

Execute

Cancel

1) Choose a trading template: Align Aggressive

2) Select accounts below for rebalancing

2) Click the "Execute" button

Select	Account Name	Account Value	Benchmark	Current		Projected	
				Risk Rating	Stock Rating	Risk Rating	Stock Rating
<input checked="" type="checkbox"/>	Christene Hanley - IRA	\$243,011	S&P 500	2.1	5.7	2.3	5.9
<input checked="" type="checkbox"/>	Nancy Matheson - College Fund	\$41,099	Wilshire 5000	1.1	4.3	0.9	3.5
<input checked="" type="checkbox"/>	Darrel Roberts - General	\$443,930	Custom Aggressive	5.9	6.2	2.2	4.3
<input checked="" type="checkbox"/>	Arnold Tobias - Retirement	\$541,763	Russell 2000	1.4	4.2	1.8	4.3
<input checked="" type="checkbox"/>	Coralie Witter - Retirement	\$444,321	Russell 2000	2.7	3.5	1.9	3.4
<input checked="" type="checkbox"/>	Mary Robertson - General	\$223,230	Custom Aggressive	1.9	1.2	2.0	4.7
<input checked="" type="checkbox"/>	Todd Springs - IRA	\$113,031	S&P 500	4.1	4.5	3.1	4.5
<input checked="" type="checkbox"/>	Jonathan Smith - General	\$400,201	Wilshire 5000	2.2	2.3	2.2	5.3
<input checked="" type="checkbox"/>	Joan Natalie - College Fund	\$81,900	Custom Conservative	2.1	4.1	1.1	5.2
<input checked="" type="checkbox"/>	Leslie Racowsky - Retirement	\$111,200	Wilshire 5000	2.5	3.6	2.2	3.6

Execute

Cancel

Fig. 16

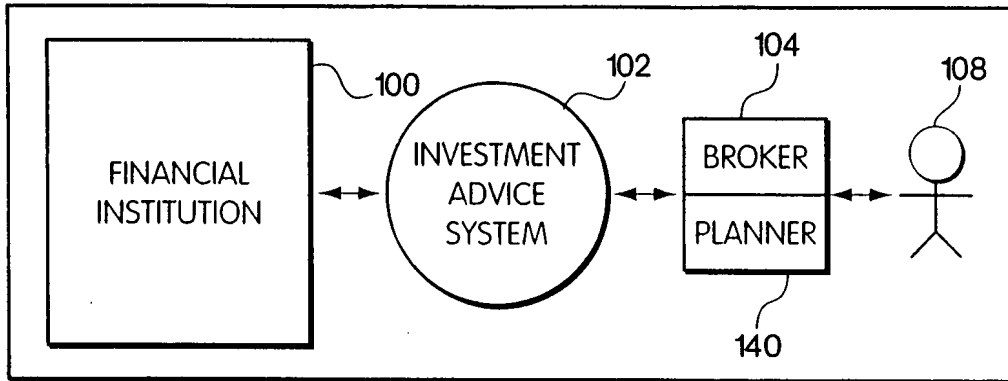


Fig. 17A

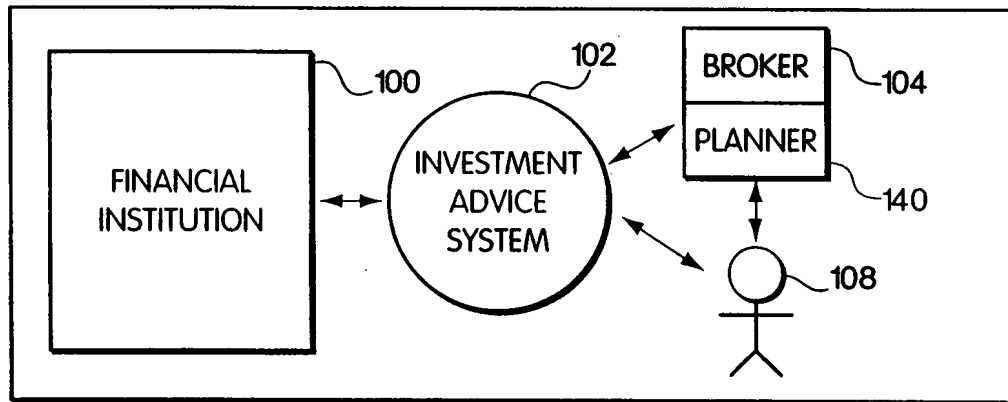


Fig. 17B

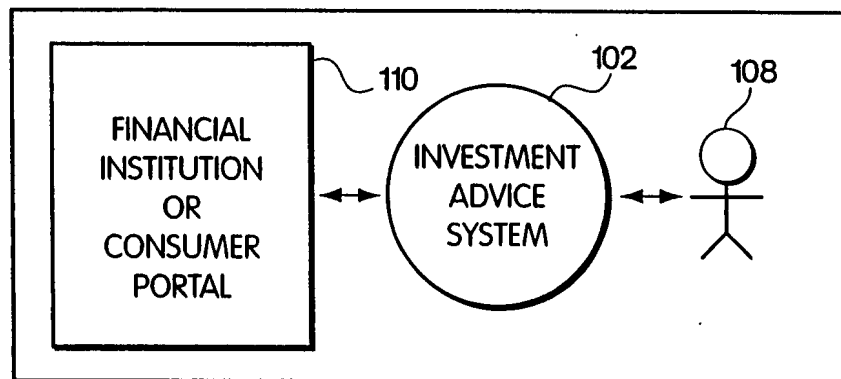


Fig. 17C

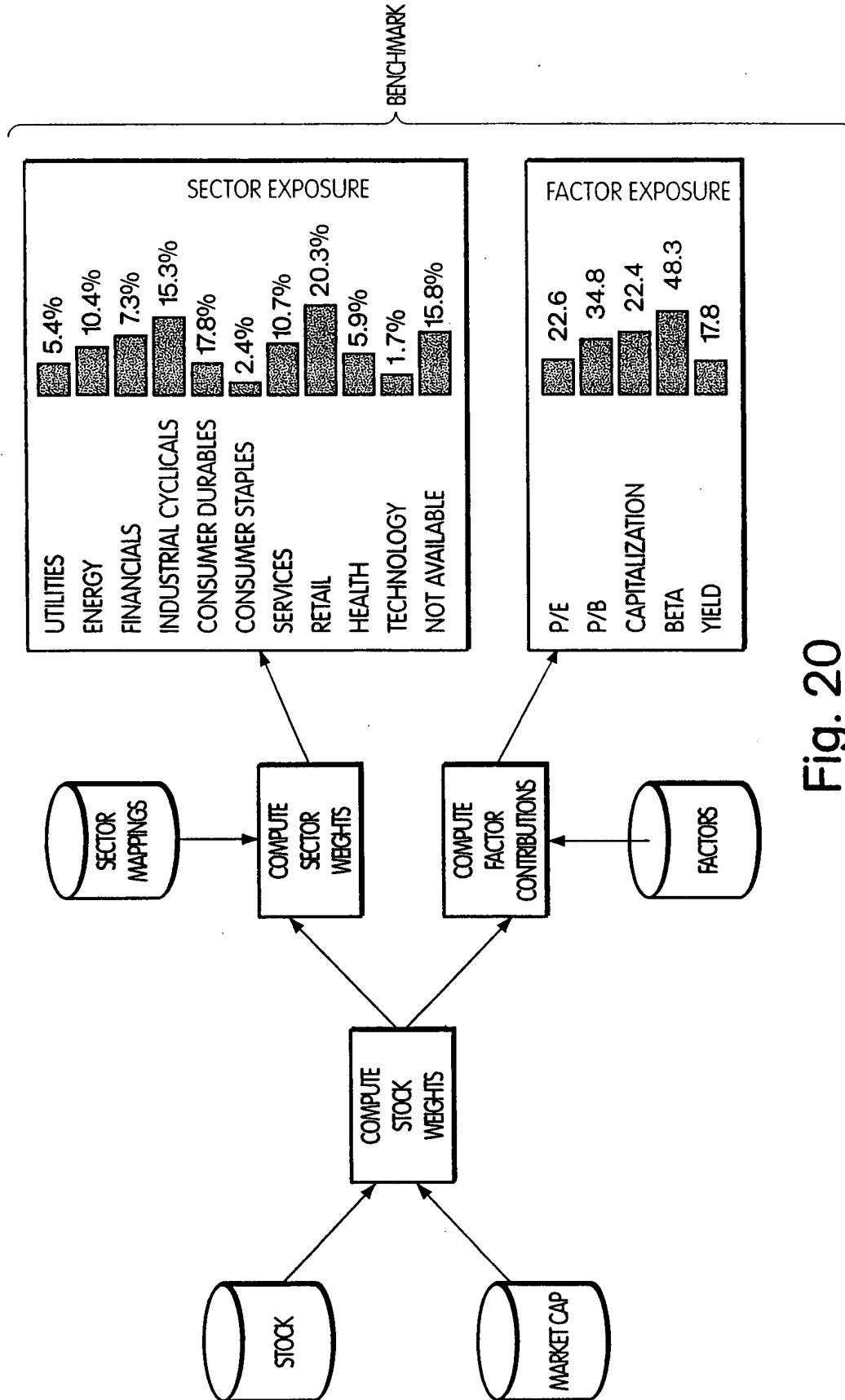


Fig. 20